

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending December 2023

| S N | Received from | Carried forward from previou s month | Receive d during the month | Total Pendin g | Resolved * | Pending at the end of the month** | | Average Resolutio n time^ (in days) |
|--------|-------------------------------|---|-------------------------------------|----------------------|---------------|---|---|--|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 00 | 0 | 00 | 0 | 0 | 00 |
| 2 | SEBI (SCORES) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | Stock Exchanges | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | Other Sources (if any) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 5 | Grand Total | 0 | 00 | 0 | 00 | 0 | 0 | N/A |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from month | Received | Resolved* | Pending** |
|----|--------------------|----------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May-2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | Aug-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 0 | 0 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April – 2022 | 0 | 0 | 0 | 0 |
| 14 | May – 2022 | 0 | 0 | 0 | 0 |
| 15 | June – 2022 | 0 | 0 | 0 | 0 |
| 16 | July – 2022 | 0 | 0 | 0 | 0 |
| 17 | August – 2022 | 0 | 0 | 0 | 0 |
| 18 | September – 2022 | 0 | 0 | 0 | 0 |
| 19 | October – 2022 | 0 | 0 | 0 | 0 |
| 20 | November – 2022 | 0 | 0 | 0 | 0 |
| 21 | December – 2022 | 0 | 0 | 0 | 0 |
| 22 | January – 2023 | 0 | 0 | 0 | 0 |
| 23 | February – 2023 | 0 | 0 | 0 | 0 |
| 24 | March – 2023 | 0 | 0 | 0 | 0 |
| 25 | April – 2023 | 0 | 0 | 0 | 0 |
| 26 | May – 2023 | 0 | 0 | 0 | 0 |
| 27 | June – 2023 | 0 | 0 | 0 | 0 |
| 28 | July – 2023 | 0 | 0 | 0 | 0 |
| 29 | August -2023 | 0 | 0 | 0 | 0 |
| 30 | September – 2023 | 0 | 0 | 0 | 0 |
| 31 | October – 2023 | 0 | 0 | 0 | 0 |
| 32 | November – 2023 | 0 | 0 | 0 | 0 |
| 33 | December – 2023 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

Trend of annual disposal of complaints

| SN | Year | Carried forwards from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|-------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | N/A | N/A | N/A | N/A |
| 2 | 2018-19 | N/A | N/A | N/A | N/A |
| 3 | 2019-20 | N/A | N/A | N/A | N/A |
| 4 | 2020-21 | N/A | N/A | N/A | N/A |
| 5 | 2021-22 | N/A | N/A | N/A | N/A |
| 6 | 2022-23 | N/A | N/A | N/A | N/A |
| 7 | 2023-24 | N/A | N/A | N/A | N/A |
| | Grand Total | N/A | N/A | N/A | N/A |