

Annexure - B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending December 2024

| Sr. No. | Received from | Carried forward | Received during | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution |
|------------|-------------------------|---------------------|--------------------|------------------|-----------|--------------------------------------|--------------------------------------|-----------------------|
| | | from previous month | the month | | | Pending for less than 3 months | Pending for more than 3 months | time^ (in days) |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchange | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Totals | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints

| Sr. No. | Month | Year | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|-------------|------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | April | 2024 | 0 | 0 | 0 | 0 |
| 2 | May | 2024 | 0 | 0 | 0 | 0 |
| 3 | June | 2024 | 0 | 1 | 1 | 0 |
| 4 | July | 2024 | 0 | 0 | 0 | 0 |
| 5 | August | 2024 | 0 | 0 | 0 | 0 |
| 6 | September | 2024 | 0 | 0 | 0 | 0 |
| 7 | October | 2024 | 0 | 1 | 1 | 0 |
| 8 | November | 2024 | 0 | 0 | 0 | 0 |
| 9 | December | 2024 | 0 | 0 | 0 | 0 |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| | Grand Total | | 0 | 1 | 1 | 0 |

Trend of annual disposal of complaints

| Sr. No. | Year | Carried forward from previous month | Received during the year | Resolved during the year | Pending at the end of the year |
|------------|-------------|--|--------------------------|--------------------------|-----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | 2017-2018 | 0 | 0 | 0 | 0 |
| 2 | 2018-2019 | 0 | 0 | 0 | 0 |
| 3 | 2019-2020 | 0 | 0 | 0 | 0 |
| 4 | 2020-2021 | 0 | 0 | 0 | 0 |
| 5 | 2021-2022 | 0 | 0 | 0 | 0 |
| 6 | 2022-2023 | 0 | 0 | 0 | 0 |
| 7 | 2023-2024 | 0 | 0 | 0 | 0 |
| 8 | 2024-2025 | 0 | 1 | 1 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |