

**Annexure – B**

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

**Data for the month ending February 2025**

| Sr. No.  | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|          |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| <b>1</b> | <b>2</b>                | <b>3</b>                            | <b>4</b>                  | <b>5</b>      | <b>6</b>  | <b>7</b>                          | <b>8</b>                       | <b>9</b>                           |
| 1        | Directly from Investors | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 3        | Stock Exchange          | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 4        | Other Sources           | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 5        | Grand Totals            | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |

**Trend of monthly disposal of complaints**

| Sr. No.  | Month              | Year     | Carried forward from previous month | Received | Resolved* | Pending** |
|----------|--------------------|----------|-------------------------------------|----------|-----------|-----------|
| <b>1</b> | <b>2</b>           | <b>3</b> | <b>4</b>                            | <b>5</b> | <b>6</b>  | <b>7</b>  |
| 1        | April              | 2024     | 0                                   | 0        | 0         | 0         |
| 2        | May                | 2024     | 0                                   | 0        | 0         | 0         |
| 3        | June               | 2024     | 0                                   | 1        | 1         | 0         |
| 4        | July               | 2024     | 0                                   | 0        | 0         | 0         |
| 5        | August             | 2024     | 0                                   | 0        | 0         | 0         |
| 6        | September          | 2024     | 0                                   | 0        | 0         | 0         |
| 7        | October            | 2024     | 0                                   | 1        | 1         | 0         |
| 8        | November           | 2024     | 0                                   | 0        | 0         | 0         |
| 9        | December           | 2024     | 0                                   | 0        | 0         | 0         |
| 10       | January            | 2025     | 0                                   | 0        | 0         | 0         |
| 11       | February           | 2025     | 0                                   | 0        | 0         | 0         |
| 12       |                    |          |                                     |          |           |           |
|          | <b>Grand Total</b> |          | 0                                   | 1        | 1         | 0         |

**Trend of annual disposal of complaints**

| Sr. No.  | Year               | Carried forward from previous month | Received during the year | Resolved during the year | Pending at the end of the year |
|----------|--------------------|-------------------------------------|--------------------------|--------------------------|--------------------------------|
| <b>1</b> | <b>2</b>           | <b>3</b>                            | <b>4</b>                 | <b>5</b>                 | <b>6</b>                       |
| 1        | 2017-2018          | 0                                   | 0                        | 0                        | 0                              |
| 2        | 2018-2019          | 0                                   | 0                        | 0                        | 0                              |
| 3        | 2019-2020          | 0                                   | 0                        | 0                        | 0                              |
| 4        | 2020-2021          | 0                                   | 0                        | 0                        | 0                              |
| 5        | 2021-2022          | 0                                   | 0                        | 0                        | 0                              |
| 6        | 2022-2023          | 0                                   | 0                        | 0                        | 0                              |
| 7        | 2023-2024          | 0                                   | 0                        | 0                        | 0                              |
| 8        | 2024-2025          | 0                                   | 1                        | 1                        | 0                              |
|          | <b>Grand Total</b> | 0                                   | 1                        | 1                        | 0                              |

**Magnum Equity Broking Limited.**

D-30, Empire Mahal, 806, Dr. B. A. Road, Khodadad Circle, Dadar T.T., Mumbai 400 014. (INDIA)

T: +91-22-6667 6869 • F: +91-22-6667 6113 • Email Id: info@magnum.co.in •

SEBI REGI. No.: INZ000172031 | NSE Member ID: 06529 | BSE Clg. No.: 126 | MCX Member ID: 10765

CDSL DP ID: 12029700 | SEBI R. No. IN-DP-CDSL-201-2003 | Investor Grievances Email ID: grievances@magnum.co.in

CIN: U67120MH1996PLC103441

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