

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending November 2024

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	9
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources	0	0	0	0	0	0	0
5	Grand Totals	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No.	Month	Year	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6	7
1	April	2024	0	0	0	0
2	May	2024	0	0	0	0
3	June	2024	0	1	1	0
4	July	2024	0	0	0	0
5	August	2024	0	0	0	0
6	September	2024	0	0	0	0
7	October	2024	0	1	1	0
8	November	2024	0	0	0	0
9						
10						
11						
12						
	Grand Total		0	1	1	0

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2	3	4	5	6
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0
8	2024-2025	0	1	1	0
	Grand Total	0	1	1	0

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