

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending September 2023

S N	Received from	Carried forward from previou s month	Receive d during the month	Total Pendin g	Resolved *	Pending at the end of the month**		Average Resolutio n time^ (in days)
						Pending for less than 3 Months	Pending for more than 3 Months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	00	0	00	0	0	00
2	SEBI (SCORES)	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	Stock Exchanges	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4	Other Sources (if any)	N/A	N/A	N/A	N/A	N/A	N/A	N/A
5	Grand Total	0	00	0	00	0	0	N/A

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	0	0	0
2	May-2021	0	0	0	0
3	June-2021	0	0	0	0
4	July-2021	0	0	0	0
5	Aug-2021	0	0	0	0
6	September-2021	0	0	0	0
7	October-2021	0	0	0	0
8	November-2021	0	0	0	0
9	December-2021	0	0	0	0
10	January-2022	0	0	0	0
11	February-2022	0	0	0	0
12	March-2022	0	0	0	0
13	April – 2022	0	0	0	0
14	May – 2022	0	0	0	0
15	June – 2022	0	0	0	0
16	July – 2022	0	0	0	0
17	August – 2022	0	0	0	0
18	September – 2022	0	0	0	0
19	October – 2022	0	0	0	0
20	November – 2022	0	0	0	0
21	December – 2022	0	0	0	0
22	January – 2023	0	0	0	0
23	February – 2023	0	0	0	0
24	March – 2023	0	0	0	0
25	April – 2023	0	0	0	0
26	May – 2023	0	0	0	0
27	June – 2023	0	0	0	0
28	July – 2023	0	0	0	0
29	August -2023	0	0	0	0
30	September – 2023	0	0	0	0
	Grand Total	0	0	0	0

Trend of annual disposal of complaints

SN	Year	Carried forwards from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	N/A	N/A	N/A	N/A
2	2018-19	N/A	N/A	N/A	N/A
3	2019-20	N/A	N/A	N/A	N/A
4	2020-21	N/A	N/A	N/A	N/A
5	2021-22	N/A	N/A	N/A	N/A
6	2022-23	N/A	N/A	N/A	N/A
7	2023-24	N/A	N/A	N/A	N/A
	Grand Total	N/A	N/A	N/A	N/A