

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending September 2025

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	9
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources	0	0	0	0	0	0	0
5	Grand Totals	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No.	Month	Year	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6	7
1	April	2025	0	0	0	0
2	May	2025	0	0	0	0
3	June	2025	0	0	0	0
4	July	2025	0	0	0	0
5	August	2025	0	0	0	0
6	September	2025	0	0	0	0
7						
8						
9						
10						
11						
12						
Grand Total			0	0	0	0

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2	3	4	5	6
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0
8	2024-2025	0	1	1	0
9	2025-2026	0	0	0	0
Grand Total		0	1	1	0

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